

SPECIAL ISSUE

Kenya Gazette Supplement No. 5

1
19th June, 2020

(Siaya County Legislative Supplement No. 1)

LEGAL NOTICE NO. 1

THE PUBLIC OFFICER ETHICS ACT

(No. 4 of 2003)

THE SIAYA COUNTY ASSEMBLY SERVICE BOARD PROCEDURES FOR
ADMINISTRATION OF PART IV OF THE ACT
ARRANGEMENT OF PARAGRAPHS

Paragraph

PART I—PRELIMINARY

- 1—Citation.
- 2—Interpretation.
- 3—Scope of Application.

PART II—PROCEDURE IN RELATION TO DECLARATIONS

- 4—Administration of the Procedures.
- 5—Procedure in Submitting Declarations.
- 6—Completion and Submission of Declarations.
- 7—Register of Declarations.
- 8—Reports on Compliance.

PART III—PROCEDURE IN RELATION TO CLARIFICATIONS

- 9—Requests for Clarifications.

PART IV—PROCEDURE FOR ACCESS OR PUBLICATION OF INFORMATION IN
A DECLARATION

- 10—Access and Publication of Information in a Declaration.
- 11—Access by Declarant.
- 12—Proof of Identity.
- 13—Decision to be Final.
- 14—Regulations to Apply to this Part.

PART V—PROCEDURE IN RELATION TO STORAGE, RETENTION AND
RETRIEVAL OF DECLARATION AND CLARIFICATIONS

- 15—Mechanisms for Storage, Retention and Retrieval.
- 16—Cessation of Retention of Information.

PART VI—GENERAL PROVISIONS

- 17—Powers of the Board.
- 18—Matters not covered by these procedures.
- 19—Representations to the Board.
- 20—Review.

THE PUBLIC OFFICER ETHICS ACT

(No. 4 of 2003)

IN EXERCISE of the powers conferred by section 33(1) of the Public Officer Ethics Act, 2003, the Siaya County Assembly Service Board establishes the following administrative Procedures:—

THE SIAYA COUNTY ASSEMBLY SERVICE BOARD PROCEDURES FOR
ADMINISTRATION OF PART IV OF THE ACT

PART I—PRELIMINARY

Citation

1. These Procedures may be cited as The Siaya County Assembly Service Board Procedures for Administration of Part IV of the Act.

Interpretation

2. In these Procedures, unless the context otherwise requires—

“Act” means the Public Officer Ethics Act, 2003;

“Board” means the Siaya County Assembly Service Board established in accordance with section 12 of the County Government Act, 2012;

“Commission” means the Ethics and Anti-Corruption Commission established under section 3 of the Ethics and Anti-Corruption Commission Act, 2011;

“Declarant” means a person who has made a declaration under the Act;

“Declaration form” means the form set out in the Schedule to the Act in accordance with section 26(2) of the Act;

“Declaration year” means the year when the two-year declaration under the Act falls due;

“Designated Officer” means an employee of the Board assigned to administer these Procedures or any part thereof in accordance with clause 4(2) of these Procedures;

“Employee” means a public officer employed by the the Board;

“Final declaration” means a declaration made in accordance with section 27(5) of the Act;

“Initial declaration” means a declaration made in accordance with section 27(3) of the Act;

“Public Officer” shall take the meaning in Article 260 of the Constitution of Kenya, 2010;

“Regulations” means the Regulations made under the Act;

“Secretary” means the Clerk of the County Assembly or in absence of the Clerk, the person exercising the functions of the clerk in accordance with section 2 of the County Assembly Service Act, 2017;

“Two-year declaration” means a declaration made in accordance with section 26(1) of the Act.

Scope of Application

3. These Procedures shall apply to the administration of Part IV of the Act with respect to employees of the Board.

PART II —PROCEDURE IN RELATION TO DECLARATIONS

Administration of the Procedures

4. (1) The Secretary shall administer these Procedures on behalf of the Board—
- (2) The Secretary may designate officer(s) from among the employees of the Board to administer the Procedures or any part thereof in respect to any specified category of employees of the Board:
- (3) The designation under sub-paragraph (2) shall be in writing and shall outline the specific tasks to be performed by the Designated Officer.

Procedure in Submitting Declarations

5. (1) An employee shall submit a declaration in the Form set out in the Schedule to the Act.
- (2) The Board may use such measures as may be appropriate to facilitate an employee to acquire the form referred to in sub-paragraph (1).
- (3) The Board may publish the declaration form in a format that may permit the declaration form—
- (a) to be rendered in digital format; or
 - (b) to be downloaded from a website and printed out in paper format.
- (4) Where an employee is required to make an initial, two-year or final declaration, the Secretary or Designated Officer may issue a notification to the employee not less than thirty (30) days before the due date for the declaration.
- (5) For avoidance of doubt, failure to provide a declaration form or to issue a notification under this paragraph shall not be construed as a waiver of the responsibility of the employee to submit a declaration under the Act.

Completion and Submission of Declarations

6. An employee shall complete and submit the declaration form to the Board through the Secretary.

Register of Declarations

7. The Board shall maintain a register containing details of each employee who is required to make a declaration in accordance with the Act. The register shall include—
- (a) name; personal number; designation; directorate; department or unit
 - (b) date the employee submitted the declaration form;
 - (c) type of declaration (initial, two-year or final);
 - (d) name and signature of the designated officer acknowledging receipt of the declaration;
 - (e) total number of employees who have submitted declarations as at the due date;
 - (f) total number of employees required to submit declarations; and
 - (g) any remarks relating to the declarations.
- (2) A register under this part may be maintained in separate documents.

Reports on Compliance

8. (1) The Board shall submit to the Commission a report containing the following information—

(a) In relation to two-year declaration—

- (i) the number of employees on the payroll as at 31st October of the year of declaration;
- (ii) a certified copy of the register maintained in accordance with paragraph 6;
- (iii) the total number of employees who have complied with the requirement for declarations;
- (iv) the total number of employees who have not complied with the requirement for declarations;
- (v) action taken by the Board in relation to any employee who has not complied;
- (vi) any relevant remarks on the submissions.

(b) In relation to the initial and final declaration—

- (i) number of employees required to make a declaration;
- (ii) the number of employees who have complied with the requirement for declaration;
- (iii) number of employees who have not complied with the requirement for declarations;
- (iv) action taken in relation to any employee who has not complied;
- (v) any relevant remarks on the submissions.

(2) The report under this part shall—

- (a) in relation to a two-year declaration, be submitted to the Commission, not later than 31st July, of the year following the declaration;
- (b) in relation to initial and final declarations, be submitted to the Commission not later than 31st July following the end of the financial year within which the declarations were made.

PART III—PROCEDURE IN RELATION TO CLARIFICATIONS

Request for a clarification shall be made in writing

9. (1) The Secretary or the Designated Officer shall review each declaration to ascertain if any of the following conditions exist

- (a) on the face of the declaration, or in light— of any other information the Board may have, there is reason to suspect the declaration may be false or incomplete;
- (b) the assets of the declarant appear disproportionate to his or her known income;
- (c) the income, assets or liabilities of the declarant raise concerns of impropriety or conflict of interest.

(2) If it is suspected that any of the conditions in subparagraph (1) exist, the Secretary or Designated Officer shall give the declarant an opportunity to make a clarification in accordance with section 28(1) of the Act.

(3) Request for a clarification shall be made in writing.

(4) The Secretary or Designated Officer shall, in the register of declarations, document the particulars of clarification sought, the mode of communication, the time given to respond, the date and particulars of response, if any.

(5) If no explanation is given, or if after considering any explanation the declarant may give, the Secretary or Designated Officer is of the opinion that the conditions in subparagraph (1) (b) still exist, the Secretary or Designated Officer may with the approval of the Board, in addition to any other action including investigations and commencement of civil proceedings, take disciplinary or other appropriate action against the employee.

PART IV— PROCEDURE FOR THE ACCESS OR PUBLICATION OF
INFORMATION IN A DECLARATION

Access and Publication of Information in a Declaration

10. (1) The Board or any other person shall not disclose, access, acquire or publish the information in the declaration form except as may be provided in the Act, the Regulations, these Procedures, or any other written law.

(2) A person who wishes to gain access or to publish information in relation to a declaration under the Act shall—

- (a) apply to the Board in the form set out in Appendix I; and
- (b) demonstrate to the Board that he or she has a legitimate interest in the information; and
- (c) demonstrate to the Board that the access to or publication of that information shall be for a good cause and in furtherance of the objectives of the Act.

(3) Where the information is intended to be disclosed or publicized, the applicant shall expressly state so in the application.

(4) Where a person has made an application to the Board in accordance with this paragraph—

- (a) the Board shall issue the applicant with an acknowledgement in the form set out in Appendix II;
- (b) the Board shall inform the declarant of the application in writing;
- (c) the Board shall give the declarant an opportunity to make a representation in writing in relation to the application within 14 days; and
- (d) the Board shall take into consideration the representation by the public officer while determining the application.

(5) The Board shall determine an application made in accordance with this paragraph and communicate its decision in writing to the applicant within thirty (30) days of receipt of the application.

(6) For the avoidance of doubt, the Board shall not release or part with the original declaration made by any employee in satisfying the requirement of this paragraph, unless required for investigation by a law enforcement agency or by any written law.

PROVIDED that where an original declaration is released under 10(4) above the Board shall always retain a certified copy of the declaration;

(7) The Board shall maintain a register of applications and decisions made under this paragraph setting out—

- (a) the name of each applicant;
- (b) the date each application was received;

- (c) the name and personal number of the employee who is the subject of the application;
- (d) the department or other unit to which the employee belongs;
- (e) a brief description of the information applied for;
- (f) whether the employee accepts or opposes to the information applied for;
- (g) a brief description of the decision made in relation to the application including reasons for denial where applicable; and
- (h) date when the decision was communicated to the applicant.

Access by Declarant

11. An Application for access by an employee to his or her declaration may be determined by the Secretary.

Proof of Identity

12. The Board shall not give access to the information in a declaration to—
- (a) the employee unless the employee proves his or her identity; or
 - (b) a representative of the employee unless that representative: -
 - (i) Provides proof of his or her authority to act as a representative of the employee; and
 - (ii) Provides proof of identity of the employee.

Decision to be Final

13. (1) Except as provided under the Act, Regulations and these Procedures, the decisions of the Board in relation to a declaration by an employee shall be final.

(2) Any person dissatisfied with the decision may appeal in accordance with the procedures set out in the Public Officer Ethics (Management, Verification, and Access to Financial Declarations) Regulations, 2011.

Regulations to Apply to this Part

14. This part shall be read and construed together with the Public Officer Ethics (Management, Verification, and Access to Financial Declarations) Regulations, 2011.

PART V— PROCEDURE IN RELATION TO STORAGE AND RETENTION OF
DECLARATION FORMS

Mechanisms for Storage, Retention and Retrieval

15. (1) The Board shall ensure that appropriate mechanisms are put in place for the safe and secure storage, retention and easy retrieval of the declarations

(2) The mechanisms established under sub-paragraph (1) may include manual, electronic, microfilm or any other form as the Board may consider appropriate.

Cessation of Retention of Information

16. (1) Where the period for retaining information obtained in accordance with Part IV of the Act has lapsed, the Board shall determine the action to be taken in relation to that information.

(2) The Secretary may make a written proposal to the Board in relation to the action to be taken by the Board in accordance with sub-paragraph (1).

PART VI—GENERAL PROVISIONS

Powers of the Board

17. (1) Notwithstanding delegation of any function or power by the Board for the purposes of these Procedures, the Board may—

- (a) exercise the delegated power or perform the delegated function; or
- (b) on its own motion or request by any person, revise a decision of the Secretary made for the purposes of these Procedures.

(2) The Board may from time to time review the operational procedures put in place by the secretary in the application of these procedures.

Matters not covered by these Procedures

18. The Board may issue written instructions in relation to any matter that has not been provided for in these procedures.

Representations to the Board

19. The Board may consider representations from any person in the application of these Procedures.

Review

20. (1) The Board may from time to time review the operational arrangements put in place by the Secretary in the application of these Procedures.

- (2) The Board may review these Procedures from time to time as may be necessary.

APPENDIX I

REQUEST TO ACCESS A DECLARATION OR CLARIFICATION

Note: A separate form to be completed in respect of a request for information for each declarant.

Part I: Information on Applicant

- 1. Name.....
- 2. National Identity Card/Passport Number.....
- 3. Postal Address.....
- 4. Physical Address.....
- 5. E-mail Address.....
- 6. Occupation.....

Part II: Particulars of Information Applied for

(a) Nature of Information (please tick)

- 1. Declaration ()
- 2. Clarification ()
- 3. Declaration and Clarification ()

(b) Declaration period

Part III: Information on the Person whose declaration is sought to be obtained:

(a) Name.....

(c) Directorate/Department (if known).....

(d) Work Station.....

(e) Reason for requiring the information:-

(i) Official.....

(j) (ii) Other reason.....

(e) State precisely the purpose for which the declaration sought will be used

Part IV: Additional Information

Give any other information you may consider relevant and useful to your request

Part V: Declaration by Applicant

I, solemnly declare that the information I have given above is true, complete and correct to the best of my knowledge.

Date:

Signature of Applicant.....

.....

APPENDIX II

ACKNOWLEDGEMENT OF REQUEST FOR ACCESS FOR A DECLARATION OR CLARIFICATION

Name of Applicant.....

National Identity Card/Passport Number.....

Name of Organization (where applicable).....

Postal Address.....

Date of Application.....

Delivered by.....

Signature.....

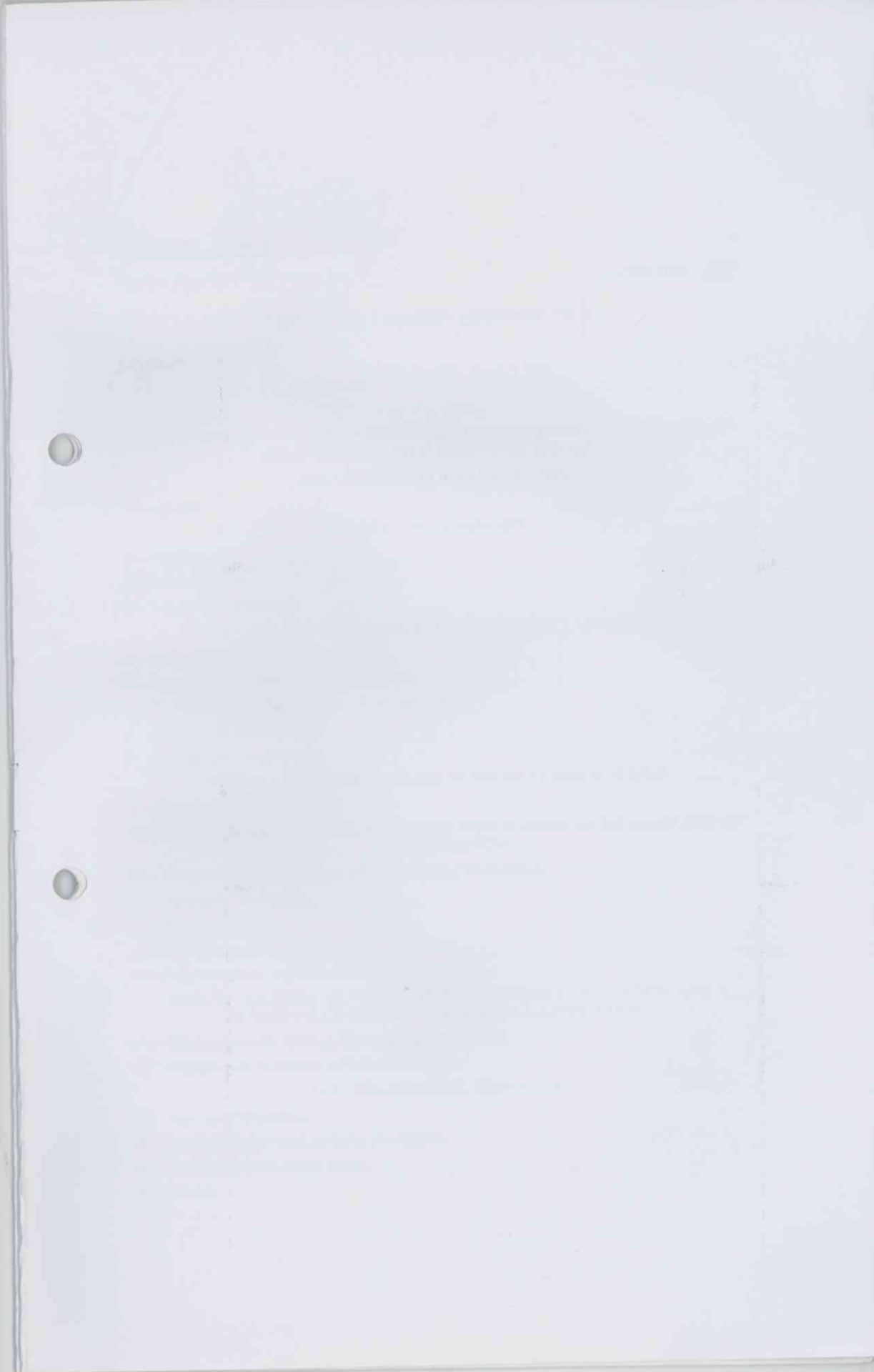
A response on this request will be communicated within thirty (30) days from the date of this acknowledgement.

Name of Receiving Officer:

Signature

Date

Stamp:



THE UNIVERSITY OF CHICAGO

1954

DEPARTMENT OF CHEMISTRY

PH.D. THESIS

BY

ROBERT M. HAYES

PH.D. 1954

CHICAGO, ILLINOIS

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954

1954